

# A GUIDE ON INSIDER TRADING, MERGERS & ACQUISITIONS AND JOINT VENTURES

[Insider Trading in Canada](#)

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## **INSIDER TRADING – CANADA:**

### **How to find information on insider trading in Canada:**

Try the [Insider Trading guide](#) created by the Library of Parliament

Try the [System for Electronic Disclosure by Insiders](#) by the Canadian Securities Administrators

For insider trading in Ontario, try the information provided by the [Ontario Securities Commission](#).

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### **How to find journal articles or newspaper articles on insider trading in the Canada:**

Try [ProQuest](#), which is in section A, #8 of our [databases by subject](#):

1. In the first search box type in “insider trading” and select “Subject” from the drop down menu
2. In the second search box type in “Canada” and select “Location” (you can also use a province as a location)

Try [Factiva](#), which is in section A, #5 of our [databases by subject](#):

1. Click on the + symbol beside “Subject” and type in “insider” in the search box and hit Enter
2. “Insider Dealing” should appear, click the triangle beside it and a code will appear in the top search menu
3. After the code in the top search menu, type “AND canada”
4. Click “Run Search”

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## **INSIDER TRADING – UNITED STATES:**

### **How to find information on insider trading in the United States:**

The Securities and Exchange Commission has an [Insider Trading info guide](#).

### **Where find journal articles or newspaper articles on insider trading in the United States:**

Try [ProQuest](#), which is in section A, #8 of our [databases by subject](#):

1. In the first search box type in “insider trading” and select “Subject” from the drop down menu
2. In the second search box type in “United States” and select “Location” (you can also use a state as a location)

Try [Factiva](#), which is in section A, #5 of our [databases by subject](#):

1. Click on the + symbol beside “Subject” and type in “insider” in the search box and hit Enter
2. “Insider Dealing” should appear, click the triangle beside it and a code will appear in the top search menu
3. After the code in the top search menu, type “AND united states”
4. Click “Run Search”

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## **INSIDER TRADING – INTERNATIONAL:**

### **How to find information on insider trading in foreign countries:**

Try the International [Organization of Securities Commissions’ Objectives and Principles of Securities Regulation](#) document.

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## **MERGERS & ACQUISITIONS – CANADA:**

### **How to find company mergers and acquisitions in Canada:**

Try [Financial Post Investor Suite](#), which is in section B, #5 of our [databases by subject](#):

1. Go to Financial Post Investor Suite
2. Click “Predecessor & Defunct”
3. Type in your company name
4. If your company is found, click on the company name

Try the [Bloomberg](#) terminal (available in the Business Library, not remotely):

1. On the terminal type in “MA”
2. Hit the green “Go” button

Also try article searches for the company using [ProQuest](#), [Factiva](#) or [LexisNexis](#), which are all in section A #5, 6, and 8.

### **How do I find out about the taxation of mergers and acquisitions in Canada?**

Try [LexisNexis](#), which is in section A, #6 of our [databases by subject](#):

1. Go to LexisNexis
2. Choose the “Sources” tab at the top left hand of the page
3. On the right hand side in a blue box beneath “Find A Source” type in ‘mergers’
4. Of the 34 results, go down to “ International Mergers & Acquisitions: Country by Country Tax Guide” and check mark it
5. Next, click the red “OK- Continue” button on the right side of the page
6. You will be redirected back to the main LexisNexis page and if you look in the drop down “Sources” menu, you will see “ International Mergers & Acquisitions: Country by Country Tax Guide” will be selected
7. In the search box type in “Canada”

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### **MERGERS & ACQUISITIONS – UNITED STATES:**

#### **How to find company mergers and acquisitions in the United States:**

Try [Mergent](#), which is in section B, #8 of our [databases by subject](#):

1. Go to Mergent and click the Enter button
2. In section #1, type in your company’s name and hit Search
3. From the list of companies, choose the one of interest
4. At the top of the screen beneath the tabs in green, click “History”
5. Within the company history, mergers and acquisitions will be discussed

Try [Hoover’s Company Records](#), which is in section B, #6 of our [databases by subject](#):

1. Go to Hoover’s (via ProQuest)
2. In the first search box type the name of your company and in the drop down menu click on “Company/Org”
3. Run your search
4. Click on the company name of interest
5. Click on the “Subsidiaries & Affiliates” tab on the left column for companies that have been developed or acquired by the company

Try [MarketLine](#), which is in section E, #7 of our [databases by subject](#):

1. Go to MarketLine
2. In the middle of the screen, click on “Browse all Company Information”
3. Next, in the search box beneath “Search for a Specific Company”, type in the name of your company
4. Find your company and click on the link
5. Next click on “Financial Deals” for information about mergers and acquisitions

Try the [Bloomberg](#) terminal (available in the Business Library, not remotely):

1. On the terminal type in “MA”
2. Hit the green “Go” button

### **How do I find out about the taxation of mergers and acquisitions in the United States?**

Try [LexisNexis](#), which is in section A, #6 of our [databases by subject](#):

1. Go to LexisNexis
2. Choose the “Sources” tab at the top left hand of the page
3. On the right hand side in a blue box beneath “Find A Source” type in ‘mergers’
4. Of the 34 results, go down to “ International Mergers & Acquisitions: Country by Country Tax Guide” and check mark it
5. Next, click the red “OK- Continue” button on the right side of the page
6. You will be redirected back to the main LexisNexis page and if you look in the drop down “Sources” menu, you will see “ International Mergers & Acquisitions: Country by Country Tax Guide” will be selected
7. In the search box type in “United States”

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### **MERGERS & ACQUISITIONS – INTERNATIONAL:**

#### **How to find company mergers and acquisitions in foreign countries:**

Try [Mergent](#), which is in section B, #8 of our [databases by subject](#):

1. Go to Mergent and click the Enter button
2. In section #1, type in your company’s name and hit Search
3. From the list of companies, choose the one of interest
4. At the top of the screen beneath the tabs in green, click “History”
5. Within the company history, mergers and acquisitions will be discussed

Try [Factiva](#), which is in section A, #5 of our [databases by subject](#):

1. Go to Factiva (click Continue if prompted by your browser)
2. At the top of the screen, click “Companies/Markets”
3. Again at the top of the screen beneath the green bar, click the “Company” link
4. In the search field type in your company of interest
5. From the results choose a company
6. Scroll down to the bottom half of the page to the “Latest News” section
7. In the “Suggested Categories” section, please choose “Ownership Changes”
8. Any mergers or acquisitions information will be listed there

#### **How do I find out how mergers and acquisitions are achieved in foreign countries?**

For countries within the [European Union](#), consult the Europa European Commission [Mergers Overview](#) document, as well as their [Mergers and Acquisitions information](#).

## **How do I find out about the taxation of mergers and acquisitions in foreign countries?**

Try [LexisNexis](#), which is in section A, #6 of our [databases by subject](#):

1. Go to LexisNexis
2. Choose the “Sources” tab at the top left hand of the page
3. On the right hand side in a blue box beneath “Find A Source” type in ‘mergers’
4. Of the 34 results, go down to “ International Mergers & Acquisitions: Country by Country Tax Guide” and check mark it
5. Next, click the red “OK- Continue” button on the right side of the page
6. You will be redirected back to the main LexisNexis page and if you look in the drop down “Sources” menu, you will see “ International Mergers & Acquisitions: Country by Country Tax Guide” will be selected
7. In the search box type in which country you are interested in

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## **JOINT VENTURES – NORTH AMERICA:**

### **How to find information on joint ventures in North America:**

For information on joint ventures in Canada, consult the [Joint Venture Agreement Checklist](#) by the Canada-Ontario Business Service Centre.

For a small guide on joint ventures in the United States, consult a guide created by [Cornell Law School](#).

Try [Mergent](#), which is in section B, #8 of our [databases by subject](#):

1. Go to [Mergent](#) and click the Enter button on the left hand side
2. Next choose the “Advanced” tab
3. In section 1, choose your Target Universe (country)
4. In section 2, choose “Textual Search”
5. Under Data Points, please choose “Joint Ventures”
6. In the “Search Value” search field, please type in Canada or the United States
7. Click the “Add” button to add Canada or United States to your search below
8. Click the “Run Search” button
9. Choose a company of interest from the search results
10. Below the grey tabs, Joint Ventures will be listed in **green**

Try the [EIU](#), which is in section C, #7 of our [databases by subject](#):

1. Go to EIU and click on “Country Commerce”
2. Choose Canada or the United States
3. Choose either HTML or PDF version from a particular year
4. Click on either “The Operating Environment” or “Organizing an Investment” section to learn about Joint Ventures

Try the [Political Risk Yearbook](#), which is in section C, #10 of our [databases by subject](#):

1. Go to the Political Risk Yearbook
2. Beneath “Online Subscriptions” choose Political Risk Yearbook

3. From the drop down menu choose Canada or the United States and either open or download the PDF
4. Within the PDF, go to the “Climate for Investment & Trade” section

Try [SDC Platinum](#), which is available only in the C.B. “Bud” Johnston Library, not remotely:

1. Choose Mergers & Acquisitions
2. Next select “Joint Ventures”

Also try [Financial Post Investor Suite](#) (for Canadian companies), which is in section B, # 5 of our [databases by subject](#):

1. Go to the FPIS
2. On the left side panel, go to down to “Mergers & Acquisitions”
3. Input your company name in the search field

Try the [Bloomberg](#) terminal only available in the C.B. “Bud” Johnston Library, not remotely:

1. On Bloomberg type “CACT”
2. Hit the green **Go** button
3. Choose your dates
4. Choose “Single Security” in the drop down menu for Source
5. Input the ticker symbol for the company of interest
6. Then select the “Custom” button (#20)
7. From the list select “Joint Ventures” (#43)

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## **JOINT VENTURES – INTERNATIONAL:**

### **How to find information on joint ventures internationally:**

Try [Business Monitor Online](#), which is in section C, #3 of our [databases by subject](#):

1. Go to Business Monitor
2. At the top right hand of the screen beneath the search box, click “Advanced”
3. Beneath “Alerts & Analysis” choose which country you are interested in
4. In the next box, choose “Joint Ventures”
5. If you have specific keywords of interest to you, please put them in the search field
6. Click the “Find” button

Try [ISI Emerging Markets](#), which is in section C, #9 of our [databases by subject](#):

1. Go to ISI
2. Choose your country of interest from a list on the right hand side of the page
3. In the search box type “joint venture” as your keywords
4. If you are looking for a specific Publication Type or Source, please select one from the left hand side drop down menu.

Try [Mergent](#), which is in section B, #8 of our [databases by subject](#):

1. Go to Mergent and click the Enter button on the left hand side
2. Next choose the “Advanced” tab
3. In section 1, choose your Target Universe (country)
4. In section 2, choose “Textual Search”

5. Under Data Points, please choose “Joint Ventures”
6. In the “Search Value” search field, please type your country of interest
7. Click the “Add” button to add the country name to your search below
8. Click the “Run Search” button
9. Choose a company of interest from the search results
10. Below the grey tabs, Joint Ventures will be listed in **green**

Try the [EIU](#), which is in section C, #7 of our [databases by subject](#):

1. Go to EIU and click on “Country Commerce”
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Try the [Bloomberg](#) terminal only available in the C.B. “Bud” Johnston Library, not remotely:

1. On Bloomberg type “CACT”
2. Hit the green **Go** button
3. Choose your dates
4. Choose “Single Security” in the drop down menu for Source
5. Input the ticker symbol for the company of interest
6. Then select the “Custom” button (#20)
7. From the list select “Joint Ventures” (#43)

If you require any further assistance please feel free to contact us at the [C.B. “Bud” Johnston Library](#)!